ENGINEERING RESEARCH CENTERS 2019 END-OF-YEAR SLIDES



i. 19 ERCs Referenced in Slides 1–5

ERC for Integrated Access Networks at the University of Arizona Future Renewable Electric Ene	ergy and Management Systems Center at
· · · · · · · · · · · · · · · · · · ·	ty (FREEDM) (Class: 2008; AY: 2008 – 2019;
	search Center for Nanotechnology stems at Rice University (NEWT) (Class: 5 – 2019)*
· ·	chnologies and Health Systems for Texas A&M University (PATHS-UP) (Class: 7 – 2019)*
· · · · · · · · · · · · · · · · · · ·	or ElectroThermal Systems at University AY: 2015 – 2019; RY: 2015 – 2019)*
G.	Sustainable Solar Technologies at ST) (Class: 2011; AY: 2011 – 2019; RY: 2011 –
	on's Urban Water Infrastructure at t) (Class: 2011; AY: 2011 – 2019; RY: 2011 –
(IOWA) (Class: 2008; AY: 2008 – 2019; RY: 2008 – 2019)* Applications of Nanoscale Mu	search Center for Translational Iltiferroic Systems at University of S) (Class: 2012; AY: 2012 – 2019; RY: 2012 –
	ient Electric Energy Transmission nnessee (CURENT) (Class: 2011; AY: 2011 –
NSF Nanosystems Engineering Research Center for Nanomanufacturing Systems for Mobile Computing and Mobile Energy Technologies at University of Texas (NASCENT) (Class: 2012; AY: 2012 – 2019; RY: 2012 – 2019)* Center for Neurotechnology a 2011; AY: 2011 – 2019; RY: 2011	at University of Washington (CNT) (Class: . – 2019)*
ERC for Revolutionizing Metallic Biomaterials at North Carolina A&T State University (NCAT) (Class: 2008; AY: 2008 – 2019; RY: 2008 – 2019)*	

^{*}AY and RY denotes the Award Year and Reporting Year Range

"Annualized ERCs" on slides 1–5 include the 19 ERCs from the previous slide and the following additional 5 ERCs

Quality of Life Technology Engineering Research Center at Carnegie Mellon University (CMU) (Class: 2006; AY: 2006 – 2015; RY: 2006 – 2014)*

Engineering Research Center for Compact and Efficient Fluid Power at the University of Minnesota – Twin Cities (Class: 2006; AY: 2006 – 2016; RY: 2006 – 2

^{*}AY and RY denotes the Award Year and Reporting Year Range

		2019 ERCs)	FY 2014–201	FY 1985-2019 (65 ERCs)	
Intellectual Property Transaction	Total	Per Center	Total	Per Center	Total
Inventions Disclosed	62	3	91	5	2,507
Patent Applications Filed (Provisional and Full)	73	73 4		105 6	
Patents Awarded	10 1		33	2	861
Licenses Issued	5	< 1	12	1	1,368
Economic Development	Total	Per Center	Total	Per Center	Total
Spinoff Companies	5	< 1	10	1	228
Spinoff Employees	51	51 3		74 4	

^{*} Does not include centers from the Earthquake Technology Sector

2 ERC Influence on Curriculum, FY 1985–2019*

		2019 ERCs)	FY 201 Annu	FY 1985-2019 (65 ERCs)	
Degrees	Total	Per Center	Total	Per Center	Total
New Full-Degree Programs Based on ERC Research	1	< 1	2	< 1	55
New Degree Minors Based on ERC Research	1	< 1	0	< 1	32
New Certificate Programs Based on ERC Research	0	< 1	3	< 1	41
Courses	Total	Per Center	Total	Per Center	Total
New Courses Based on ERC Research	23	1	33	2	1,053
Ongoing Courses With ERC Content	223	12	312	17	3,237
Course Modules Based on ERC Research	22	1	37	2	702
Textbooks	Total	Per Center	Total	Per Center	Total
New Textbooks Based on ERC Research	3	< 1	5	< 1	182
New Textbook Chapters Based on ERC Research	4	< 1	10	1	104

^{*} Does not include centers from the Earthquake Technology Sector

		2019 ERCs)	FY 201 Annu	FY 1985–2019 (65 ERCs)	
Peer-Reviewed Publications (Total)	Total	Per Center	Total	Per Center	Total
Journals**	705	37	988	54	24,017
Conference Proceedings**	406	21	571	32	18,119
Trade Journals	7	< 1	17	1	640
Coauthored With ERC Students	452	24	641	35	12,582
Education and Outreach	Total	Per Center	Total	Per Center	Total
Education and Colloquia	855	45	955	53	16,968
Workshops, Short Courses, and Webinars	366	19	373	21	5,550

^{*} Does not include centers from the Earthquake Technology Sector

^{**} Includes publications that result from center support, associated projects, and sponsored projects

4 Curricular Impact of ERCs, FY 2007–2019*

		2019 ERCs)		4–2018 alized	FY 2007–2019 (39 ERCs)
New and Ongoing Courses, Workshops, Short Courses, Webinars, and Textbooks Based on ERC Research	Total	Per Center	Total	Per Center	Total
With Engineered-System Focus	265	14	403	22	3,748
With Multidisciplinary Content	176	9	335	18	3,245
Offered at Undergraduate Level	160	8	239	13	2,243
Offered at Graduate Level	180	9	319	17	3,038
Used at More Than One ERC Institution	72	4	102	6	850
Team Taught by Faculty in More Than One Department	88	5	75	4	836

^{*} Does not include centers from the Earthquake Technology Sector

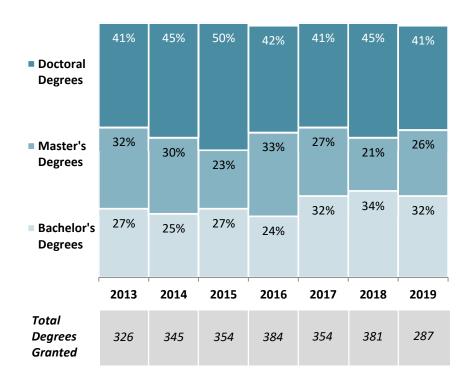
^{**} Data collection of curricular impacts started in 2007.

		2019 ERCs)	FY 201 Annu	FY 1985–2019 (65 ERCs)	
Degree Type	Total	Per Center	Total	Per Center	Total
Bachelor's	93	5	104	6	4,507
Master's	76	4	98	5	4,314
Doctoral	118	6	162	9	5,080
Total	287	15	364	20	13,901

^{*} Does not include centers from the Earthquake Technology Sector

Degrees Granted to ERC Students*
(Domestic and Foreign Students)

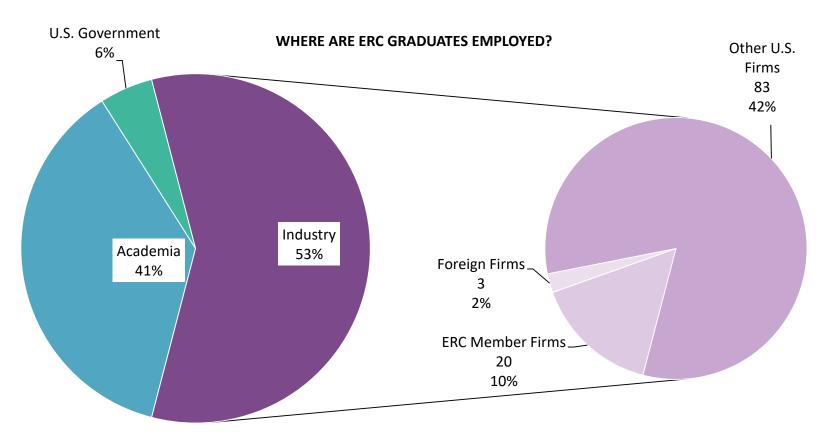
Degrees Granted From All U.S. Engineering Schools (Domestic and Foreign Students)



7%	7%	7%	6%	6%	6%	6%
32%	32%	32%	33%	32%	31%	31%
61%	61%	61%	60%	62%	64%	64%
2013	2014	2015	2016	2017	2018	2019
153,252	2 161,661	175,590	187,489	196,370	212,616	212,616

Data Source: American Society for Engineering Education (ASEE) (http://edms.asee.org)

^{*} Does not include centers from the Earthquake Technology Sector



Total: 305

ERC Research and Education Personnel, by Underrepresented Group and Citizenship Status, FY 2019

Personnel Category	Total	Total U.S. Citizens and	s and Women*		Underrepresented Racial Minorities*		Hispanic*		Foreign	
		Permanent Residents	Number	%	Number	%	Number	%	Number	%
Faculty										
Total	571	464	127	27%	26	6%	49	11%	59	10%
Graduate Students										
Postdocs	167	57	26	46%	2	4%	4	7%	95	57%
Graduate Students	1,105	520	195	38%	41	8%	67	13%	473	43%
Doctoral	906	417	156	37%	31	7%	43	10%	419	46%
Master's	200	104	39	38%	10	10%	24	23%	54	27%
Total**	1,270	576	220	38%	43	7%	71	12%	567	45%
Undergraduate Students										
ERC Undergraduate Students (Research Assistants, Non-REU Students)	765	522	258	49%	68	13%	135	26%	41	5%
NSF REU Site Award Students	101	101	55	54%	20	20%	30	30%	0	0%
ERC's Own REU Students	130	117	59	50%	35	30%	46	39%	0	0%
Total**	947	694	350	50%	111	16%	186	27%	41	4%
Community College										
Participants in RET Program	3	3	2	67%	0	0%	1	33%	0	0%
K–12 Teachers										
K-12 RET	150	131	75	57%	27	21%	16	12%	0	0%
K-12 Non-RET	56	53	30	57%	13	25%	9	17%	0	0%
Total	206	184	105	57%	40	22%	25	14%	0	0%
Young Scholars										
Total	186	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Grand Total***	3,216	1,954	831	43%	227	12%	339	17%	667	22%

^{*} U.S. citizens and permanent residents only

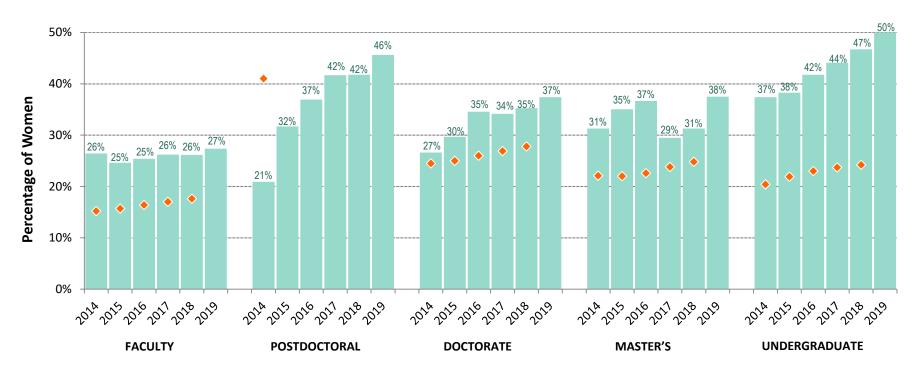
NOTE: For years in which the center entered demographic data by institution rather than per person, data are not included.

^{**} The sum of the number of personnel for each row may exceed the total because personnel may belong to multiple categories.

^{***} Leadership/Administration Directors, Thrust Leaders, and Education Program Leaders are included in the Grand Total. For the Grand Total row, all columns exclude Young Scholars, except the Total column.

Outreach Participants	Total
Community College Events	0
Faculty Who Attended ERC-Sponsored Educational Outreach Events	30
Students Who Attended ERC-Sponsored Educational Outreach Events	357
Total	387
K–12 Events	
Pre-college K–12 Teachers	6,838
K–12 Students	81,847
Total	88,685
Grand Total	89,072

Percentage of Women Personnel in ERCs vs. Percentage of Women in Engineering Programs Generally

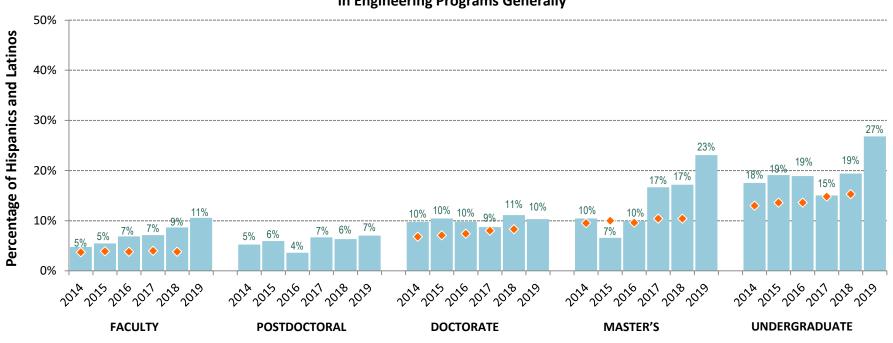


- Percentage of women in ERCs
- Percentage of women in engineering programs (ASEE national engineering data)

- Data from centers are not included for years in which the center entered demographic data by institution rather than per person
- Both ERC data and National statistics are for U.S. citizens and permanent residents only
- Undergraduates include REU students
- The percentages of women are calculated out of the total number of U.S. citizens and permanent residents, including personnel who did not report gender
- ASEE data were not collected for 2019 and for postdoctoral for 2015–2019
- The percentages of personnel who did not report gender are as follows: 2014: 7.34%, 2015: 8.12%, 2016: 9.77%, 2017: 12.06%, 2018: 10.67%, 2019: 10.95%

11 Hispanics and Latinos in ERCs, FY 2014–2019

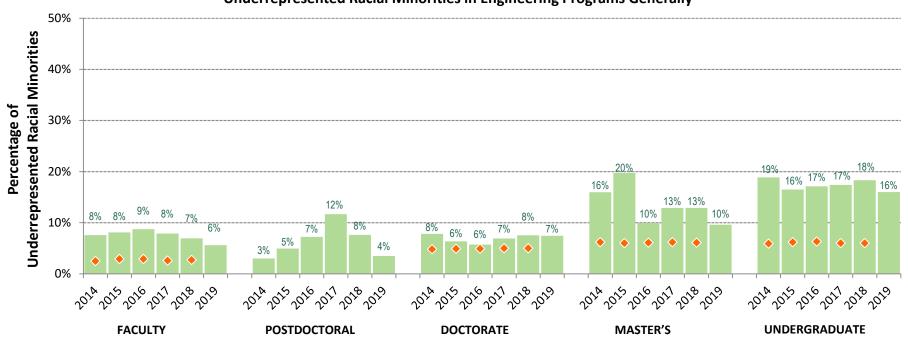
Percentage of Hispanic and Latino Personnel in ERCs vs. Percentage of Hispanics and Latinos in Engineering Programs Generally



- Percentage of Hispanics and Latinos in ERCs
- Percentage of Hispanics and Latinos in engineering programs (ASEE national engineering data)

- Data from centers are not included for years in which the center entered demographic data by institution rather than per person
- Both ERC data and National statistics are for U.S. citizens and permanent residents only
- Undergraduates include REU students
- The percentages of Hispanics and Latinos are calculated out of the total number of U.S. citizens and permanent residents, including personnel who did not report ethnicity
- ASEE data were not collected for 2019 and for postdoctoral for 2014–2019
- The percentages of personnel who did not report ethnicity are as follows: 2014: 18.61%, 2015: 17.59%, 2016: 20.85%, 2017: 17.43%, 2018: 15.81%, 2019: 15.57%

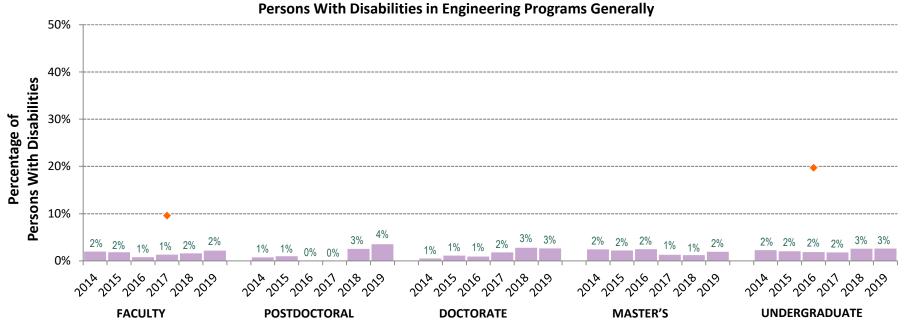
Percentage of Underrepresented Racial Minority Personnel in ERCs vs. Percentage of Underrepresented Racial Minorities in Engineering Programs Generally



- Percentage of underrepresented racial minorities in ERCs
- Percentage of underrepresented racial minorities in engineering programs (ASEE national engineering data)

- Data from centers are not included for years in which the center entered demographic data by institution rather than per person
- Both ERC data and National statistics are for U.S. citizens and permanent residents only
- Undergraduates include REU students
- The percentages of underrepresented racial minorities are calculated out of the total number of U.S. citizens and permanent residents, including personnel who did not report race
- ASEE data were not collected for postdoctoral for 2014–2019
- The percentages of personnel who did not report race are as follows: 2014: 19.07%, 2015: 19.24%, 2016: 22.06%, 2017: 17.88%, 2018: 16.91%, 2019: 17.68%

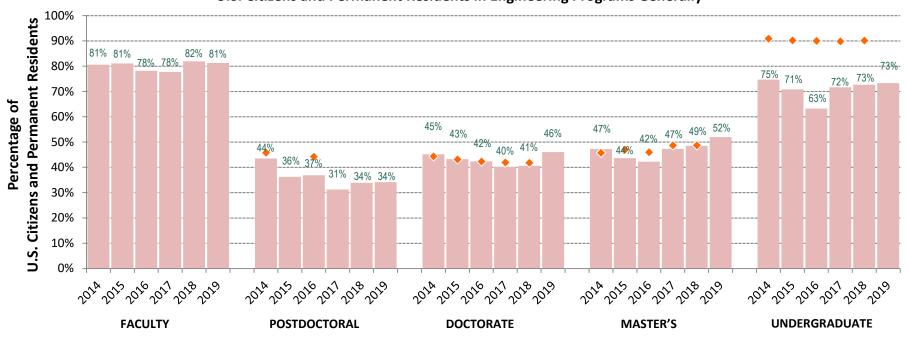
Percentage of Persons With Disabilities Personnel in ERCs vs. Percentage of Persons With Disabilities in Engineering Programs Generally



- Percentage of persons with disabilities in ERCs
- Percentage of persons with disabilities in engineering programs (ASEE national engineering data)

- Data from centers are not included for years in which the center entered demographic data by institution rather than per person
- Undergraduates include REU students
- The percentages of persons with disabilities are calculated out of the total number of U.S. citizens and permanent residents, including personnel who did not report disability status
- The national percentages for persons with disabilities are for all persons, regardless of citizenship. The national percentages for doctoral students with disabilities and master's students with disabilities are from the national percentages for graduate students (master's and doctoral students combined)
- ASEE data are only available for faculty for 2017 and for undergraduate for 2016
- The percentages of personnel who did not report disability status are as follows: 2014: 20.67%, 2015: 21.86%, 2016: 23.79%, 2017: 27.63%, 2018: 20.44%, 2019: 21.66%

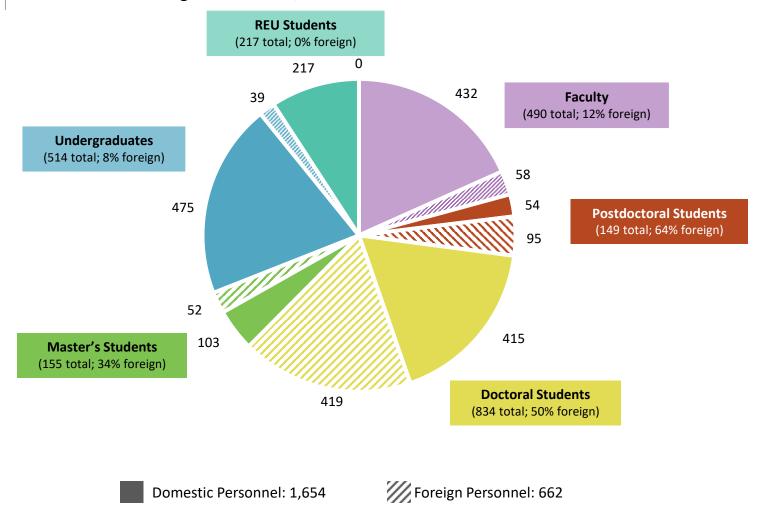
Percentage of U.S. Citizen and Permanent Resident Personnel in ERCs vs. Percentage of U.S. Citizens and Permanent Residents in Engineering Programs Generally



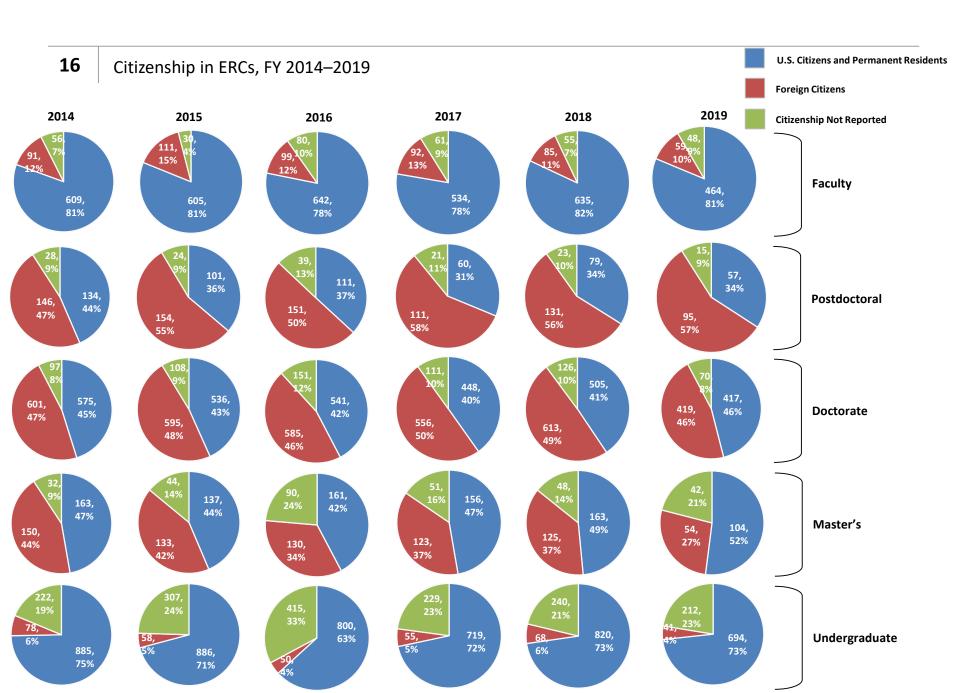
- Percentage of U.S. citizens and permanent residents in ERCs
- Percentage of U.S. citizens and permanent residents in engineering programs (ASEE national engineering data)

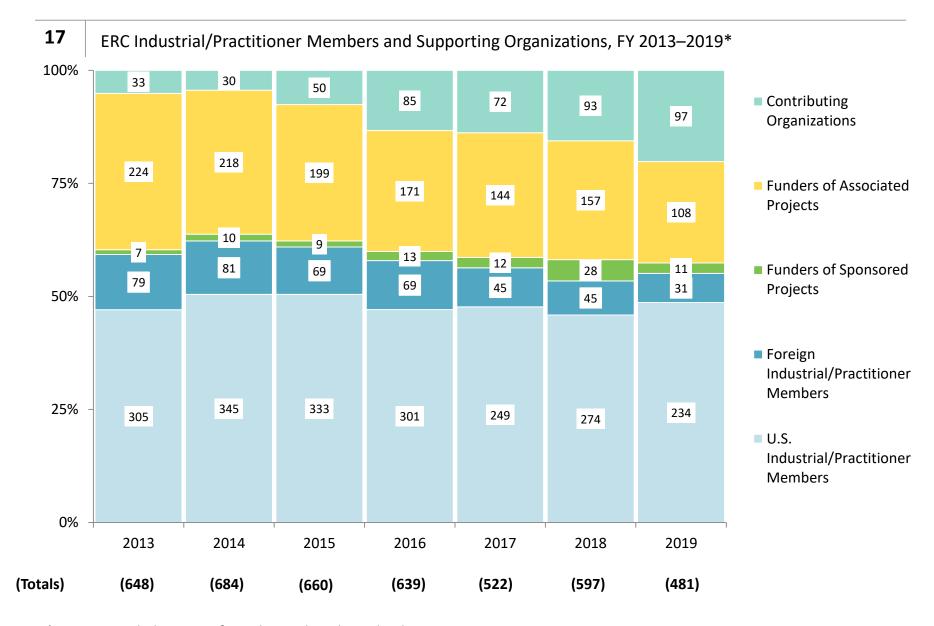
- Data from centers are not included for years in which the center entered demographic data by institution rather than per person
- Undergraduates include REU students
- The percentages of U.S. citizens and permanent residents are calculated out of the total number of personnel, including personnel who did not report citizenship
- ASEE data are not yet available for 2019 and were not collected for faculty for 2014–2019 or for postdoctoral for 2015, and 2017- 2019
- The percentages of personnel who did not report citizenship are as follows: 2014: 11%, 2015: 13.49%, 2016: 18.42%, 2017: 14.07%, 2018: 13.09%, 2019: 12.73%

15 Personnel Conducting ERC Research, FY 2019



- The sum of the number of personnel for each category may exceed the total number of personnel because personnel may belong to multiple categories
- Percentage of foreign personnel is calculated out of domestic and foreign personnel, excluding personnel who did not report citizenship





^{*} Does not include centers from the Earthquake Technology Sector

	ı	11	1	11	1	11	1		
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019		
Organization Type									
Contributing Organizations	33	30	50	85	72	93	97		
Funders of Associated Projects	224	218	199	171	144	157	108		
Funders of Sponsored Projects	7	10	9	13	12	28	11		
Foreign Industrial/Practitioner Members	79	81	69	69	45	45	31		
U.S. Industrial/Practitioner Members	305	345	333	301	249	274	234		
Total Number of Organizations	648	684	660	639	522	597	481		
Total Number of Centers	20	20	17	19	16	19	19		
Average Number of Organizations per Center	32	34	39	34	33	31	25		

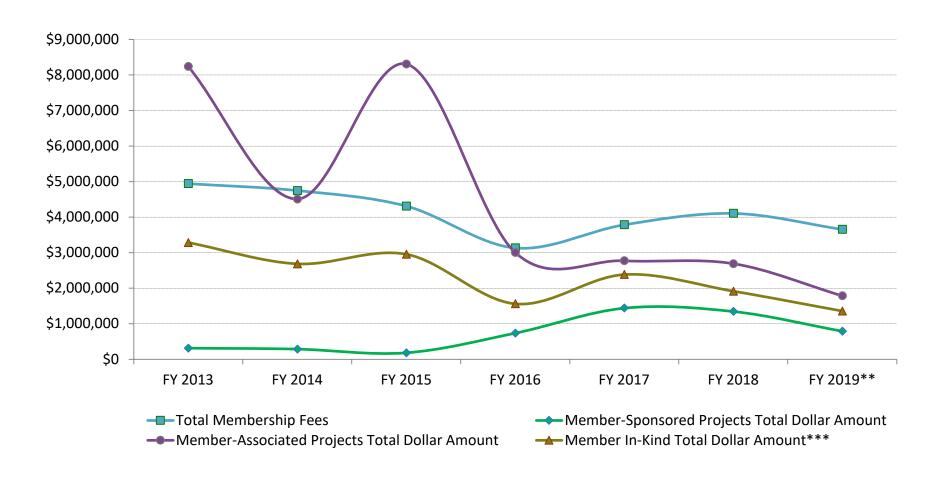
^{*} Does not include centers from the Earthquake Technology Sector

	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019**
Type of Support							
Total Membership Fees	\$4,942,433	\$4,747,675	\$4,309,666	\$3,132,772	\$3,786,620	\$4,105,519	\$3,655,295
Member-Sponsored Projects Total Dollar Amount	\$311,757	\$285,000	\$182,000	\$735,122	\$1,440,493	\$1,344,913	\$790,527
Member-Associated Projects Total Dollar Amount	\$8,239,885	\$4,508,750	\$8,308,585	\$3,001,718	\$2,772,841	\$2,690,570	\$1,787,067
Member In-Kind Total Dollar Amount***	\$3,284,191	\$2,685,819	\$2,954,553	\$1,560,677	\$2,384,789	\$1,914,975	\$1,357,824
Total Dollar Amount, Industrial/Practitioner Member Support to Center	\$16,778,266	\$12,227,244	\$15,754,804	\$8,430,289	\$10,384,743	\$10,055,977	\$7,590,713

^{*} Does not include centers from the Earthquake Technology Sector

^{**} Support received by the end of the current reporting year. Includes data for centers that have entered partial data during a no-cost extension (NCE)

^{***} Data for this row are from the In-Kind Support reported in the Organizations section

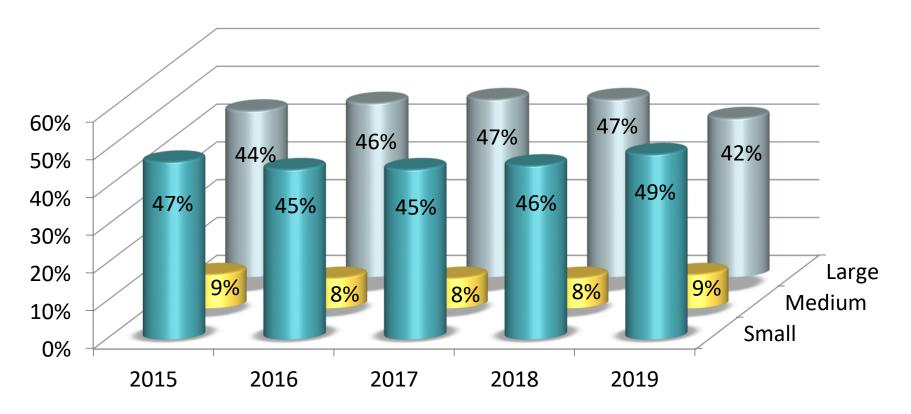


^{*} Does not include centers from the Earthquake Technology Sector

^{**} Support received by the end of the current reporting year. Includes data for centers that have entered partial data during a no-cost extension (NCE)

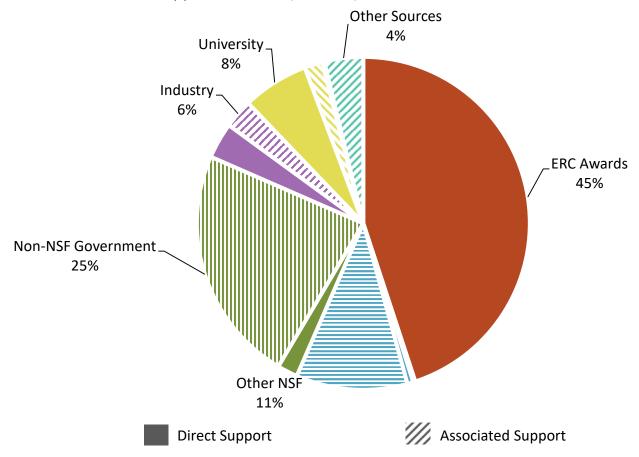
^{***} Data for this line are from the In-Kind Support reported in the Organizations section





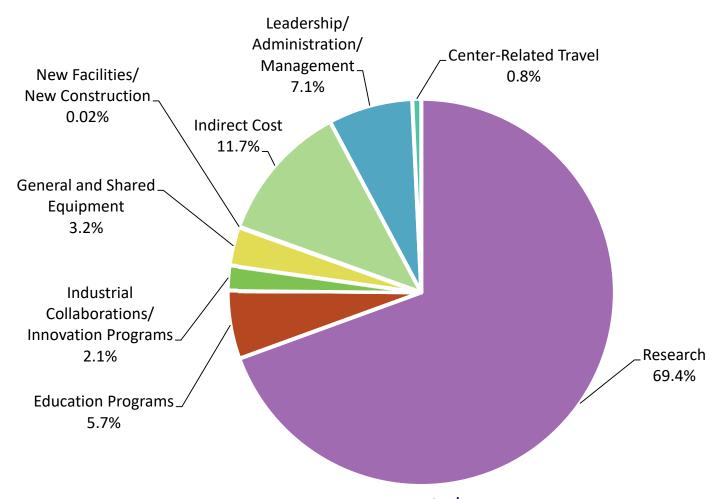
- The total number of firms is as follows: 2015: 342, 2016: 323, 2017: 250, 2018: 276, 2019: 233
- Industry sizes are as follows: Small = <500 employees, Medium = 500-1,000 employees, Large = >1,000 employees

Total ERC New Cash Support, FY 2019 (19 ERCs)



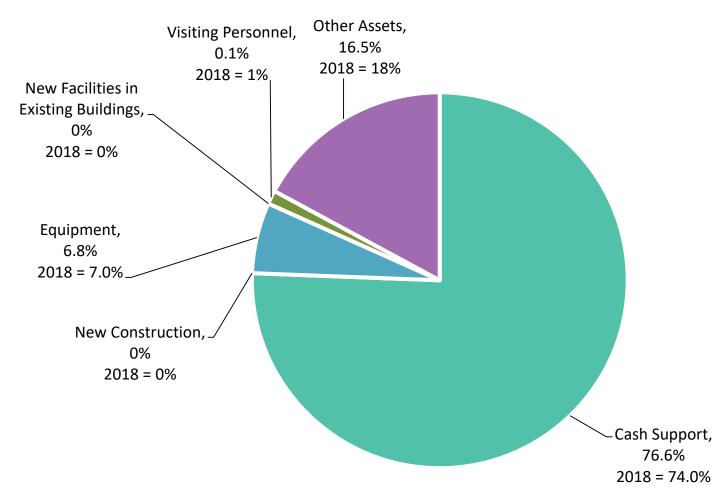
Total value of support: \$123 million

- Percentages shown are Direct Support and Associated Support combined
- Non-NSF Government includes U.S. Government (Not NSF), State Government, Local Government, Foreign Government, and Quasi-government Research Organizations
- Other Sources include Medical Facilities, Nonprofit Organizations, Private Foundations, Venture Capitalists and Other Sources

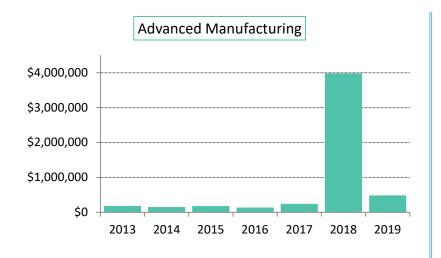


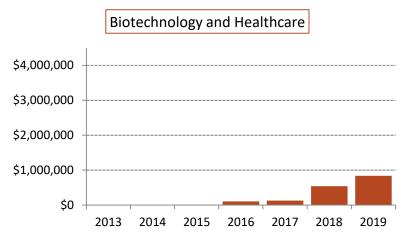
Direct Support total: \$133,033,228

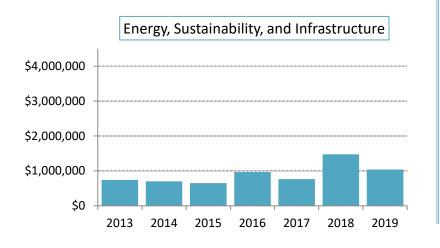
^{*} Includes in-kind support but not residuals

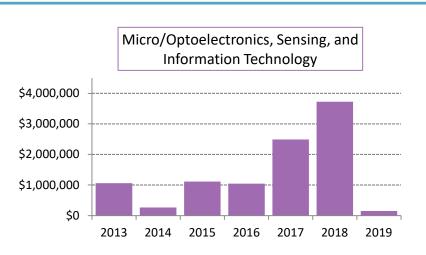


Total value of support: \$7.4 million

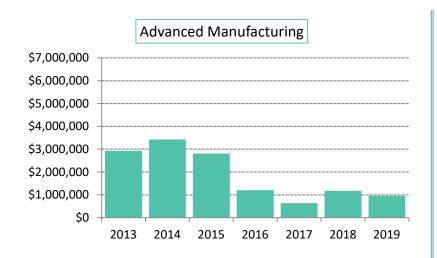


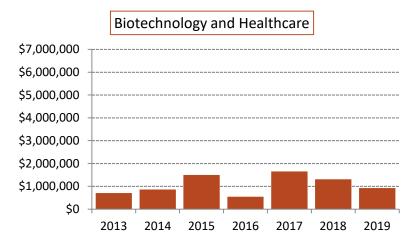


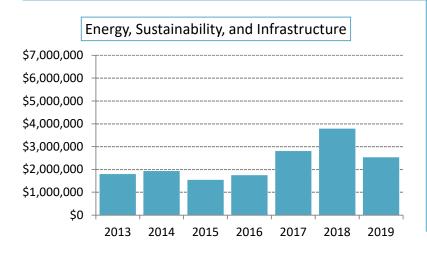


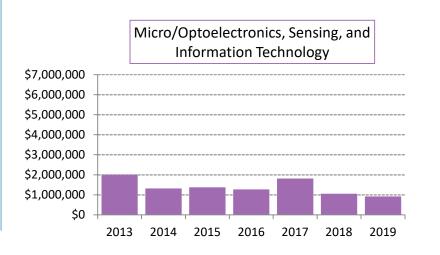


- Does not include centers from the Earthquake Technology Sector
- Support includes Unrestricted Cash, Restricted Cash, and In-Kind Support
- Includes data for centers that have entered partial data during a no-cost extension (NCE)





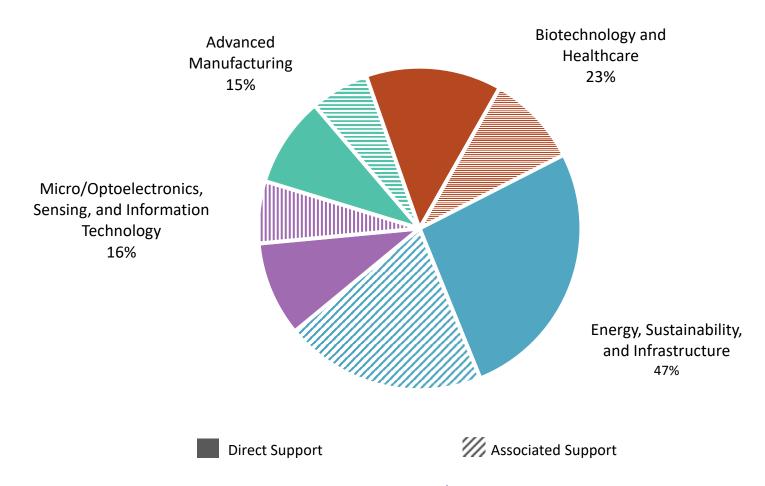




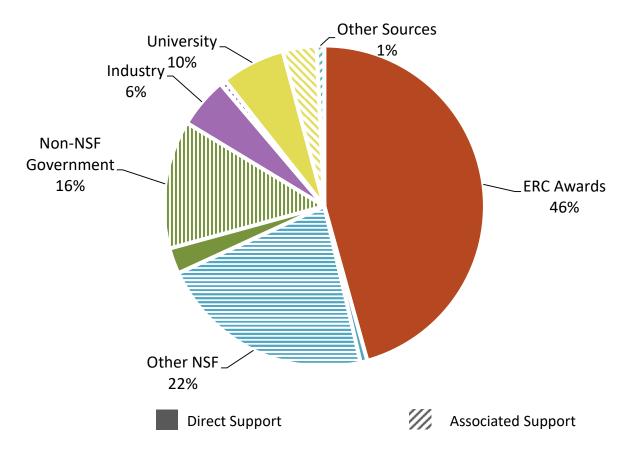
^{*} Does not include centers from the Earthquake Technology Sector

^{**} Support includes Unrestricted Cash, Restricted Cash, and In-Kind Support

^{***} Includes data for centers that have entered partial data during a no-cost extension (NCE)

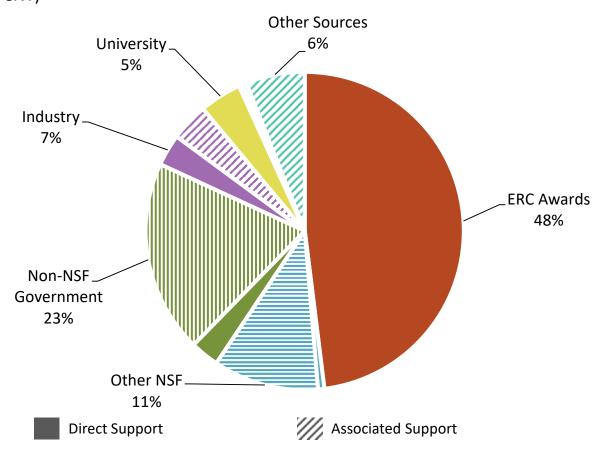


Total value of support: \$125.2 million



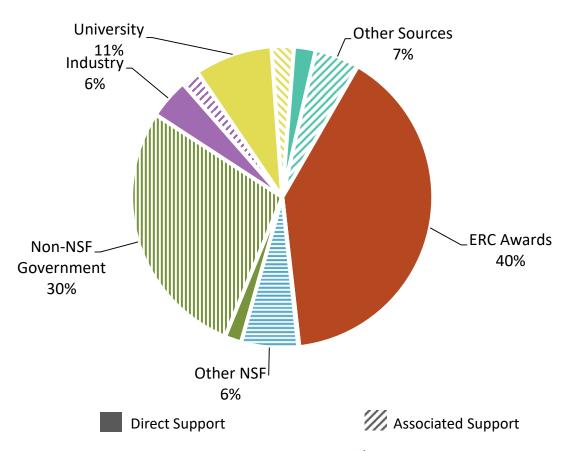
Total value of support: \$.18.7 million

- Sources of Support include Unrestricted Cash, Restricted Cash, In-Kind, and Associated Projects. Residuals are not included
- Non-NSF Government includes U.S. Government (not NSF), State government, local government, foreign government, and quasi-government research organizations
- Other Sources includes medical facilities, nonprofit organizations, private foundations, venture capitalists, and other sources



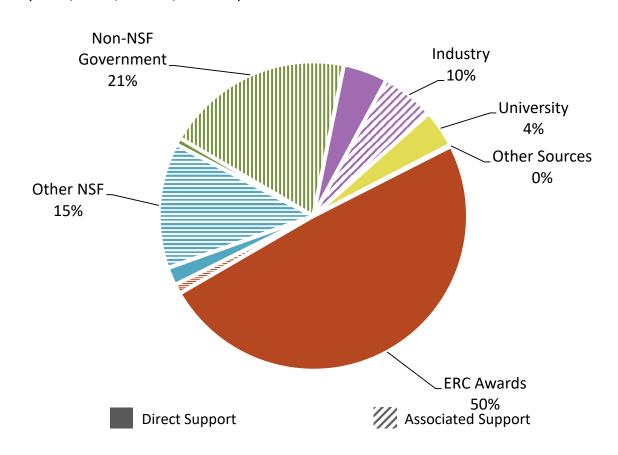
Total value of support: \$28.6 million

- Sources of Support include Unrestricted Cash, Restricted Cash, In-Kind, and Associated Projects. Residuals are not included
- Non-NSF Government includes U.S. Government (not NSF), State government, local government, foreign government, and quasi-government research organizations
- Other Sources includes medical facilities, nonprofit organizations, private foundations, venture capitalists, and other sources



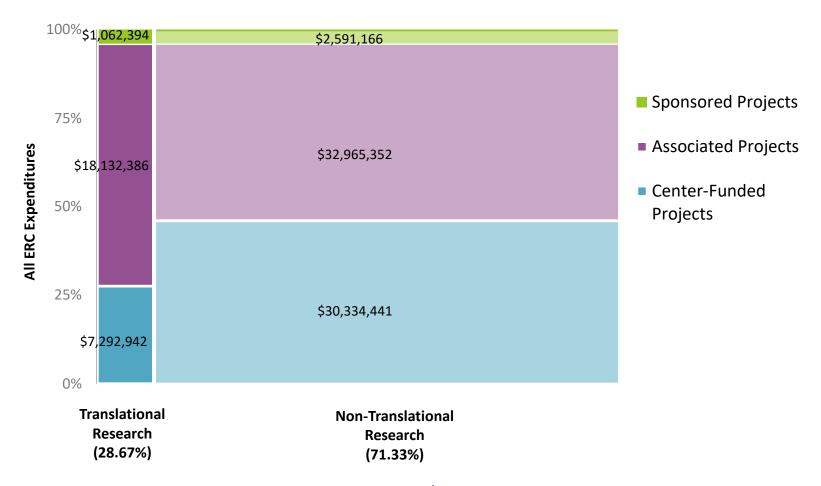
Total value of support: \$58.3 million

- Sources of Support include Unrestricted Cash, Restricted Cash, In-Kind, and Associated Projects. Residuals are not included
- Non-NSF Government includes U.S. Government (not NSF), State government, local government, foreign government, and quasi-government research organizations
- Other Sources includes medical facilities, nonprofit organizations, private foundations, venture capitalists, and other sources

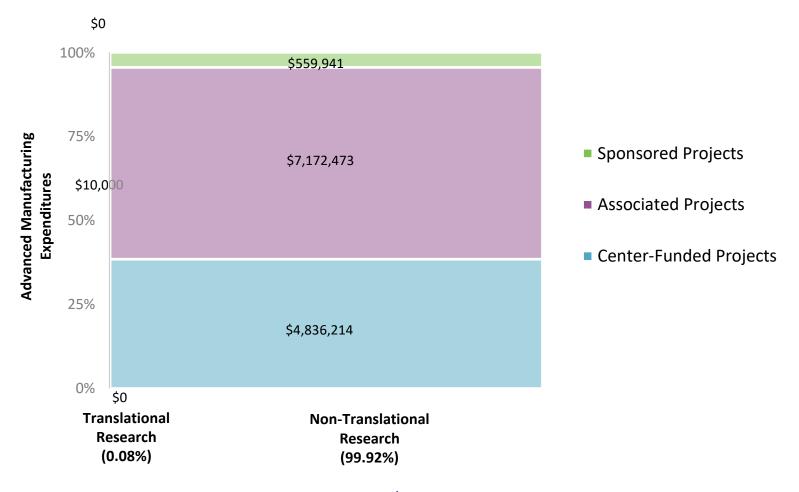


Total value of support: \$19.7 million

- Sources of Support include Unrestricted Cash, Restricted Cash, In-Kind, and Associated Projects. Residuals are not included
- Non-NSF Government includes U.S. Government (not NSF), State government, local government, foreign government, and quasi-government research organizations
- Other Sources includes medical facilities, nonprofit organizations, private foundations, venture capitalists, and other sources

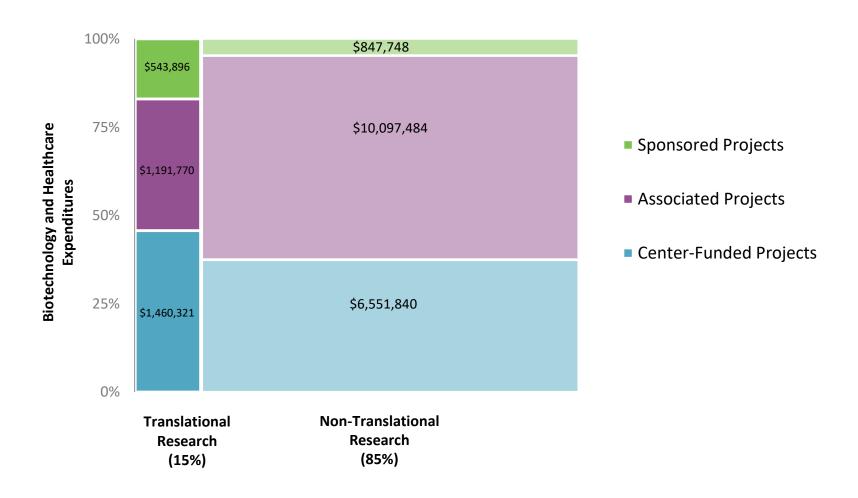


Total value of support: \$92 million

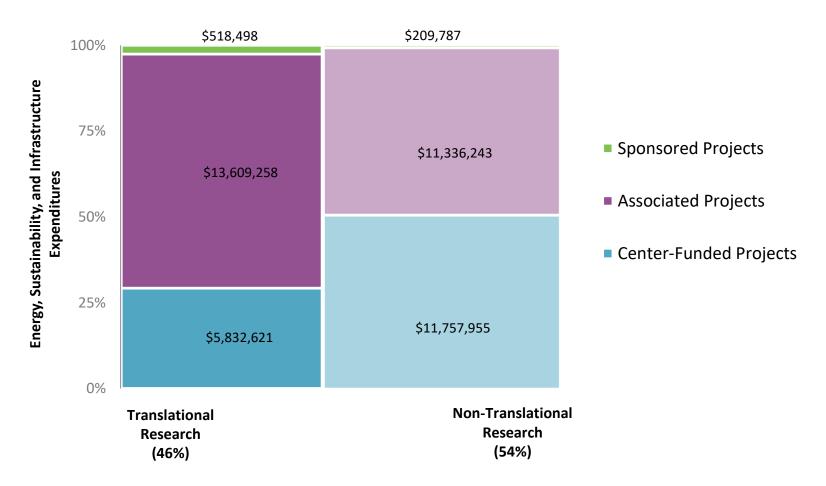


Total value of support: \$13 million

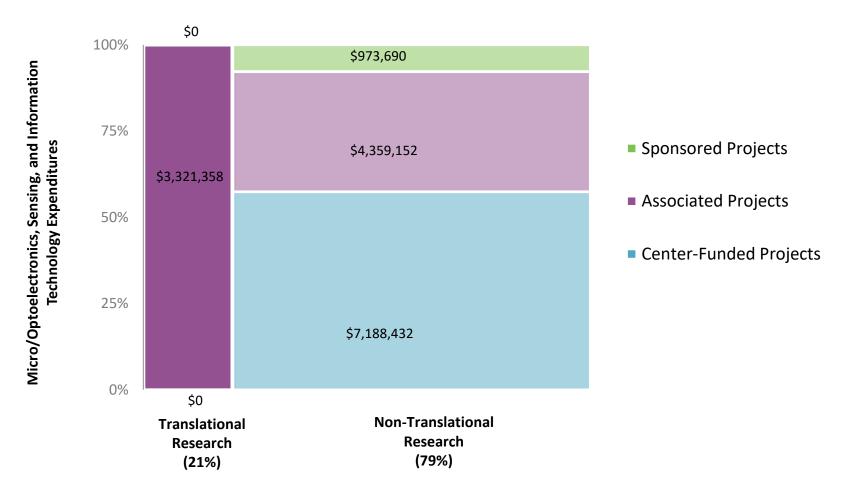
• \$0 corresponds to Center-Funded Projects expenditures for Translational Research. Area is not visible due to the small relative size



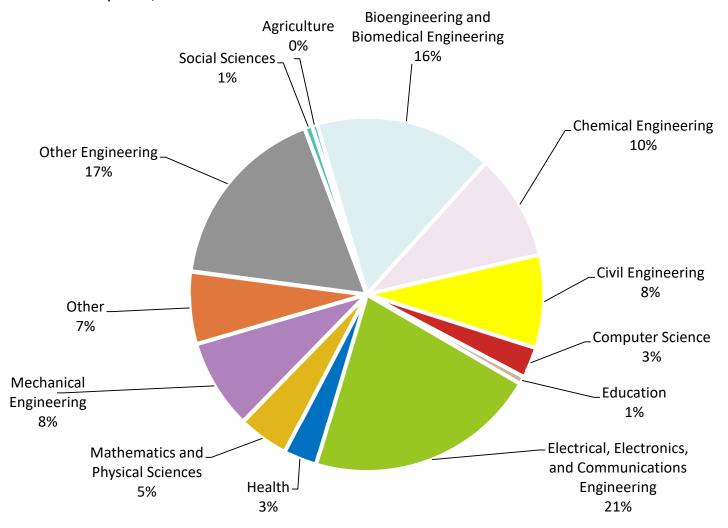
Total value of support: \$21 million



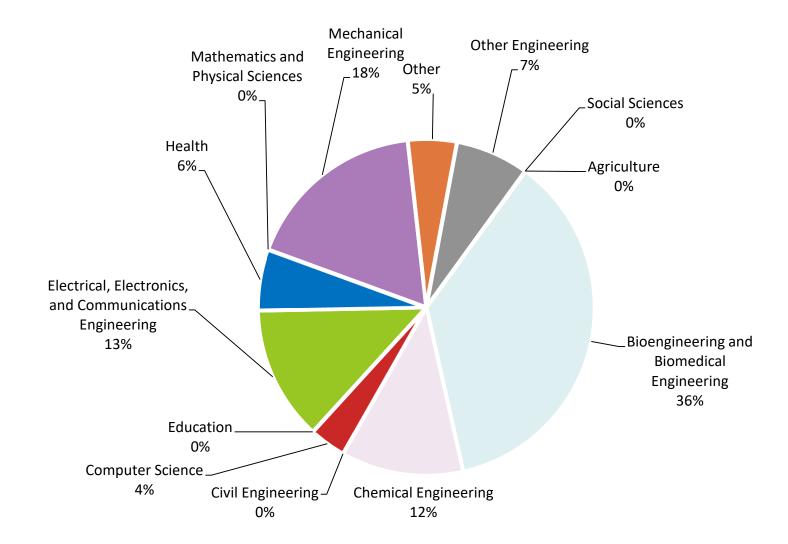
Total value of support: \$43 million



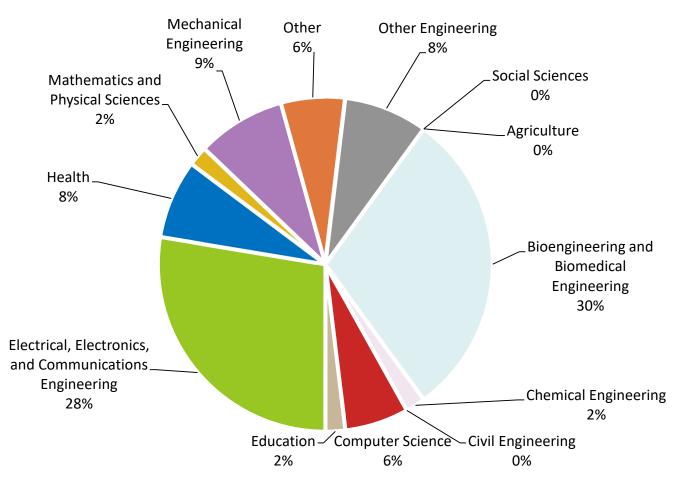
Total value of support: \$16 million



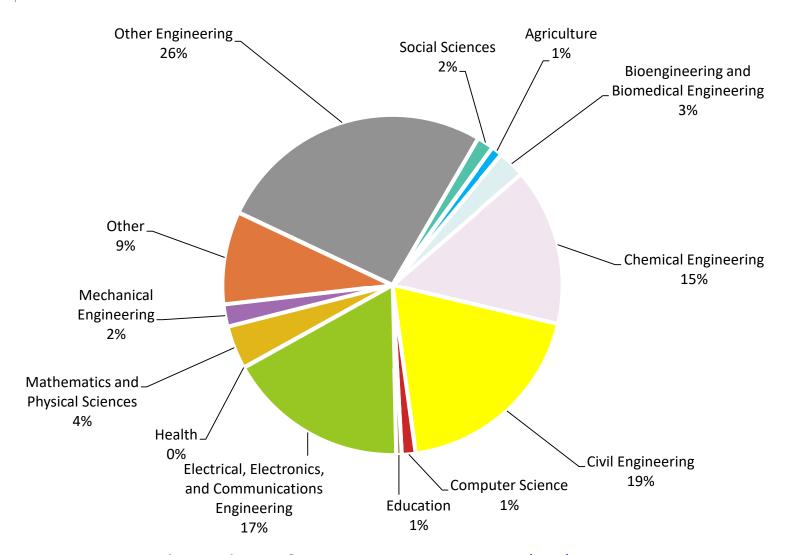
Total number of Project Investigators (PIs): 428



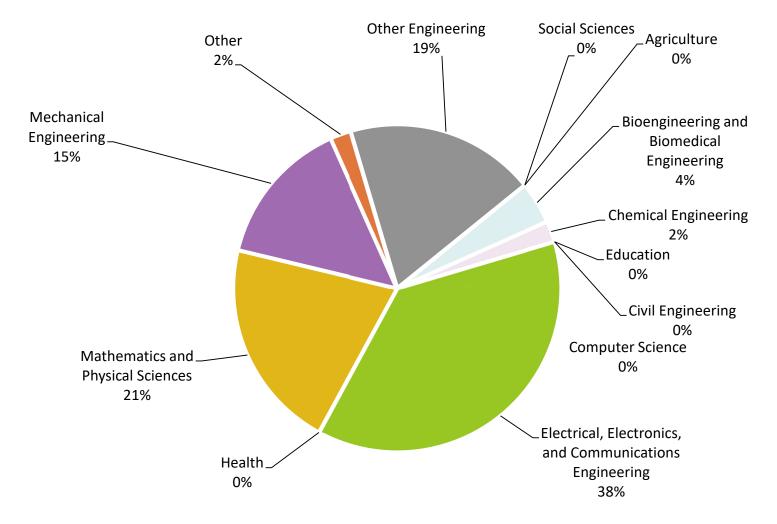
Total number of Project Investigators (PIs): 85



Total number of Project Investigators (PIs): 105

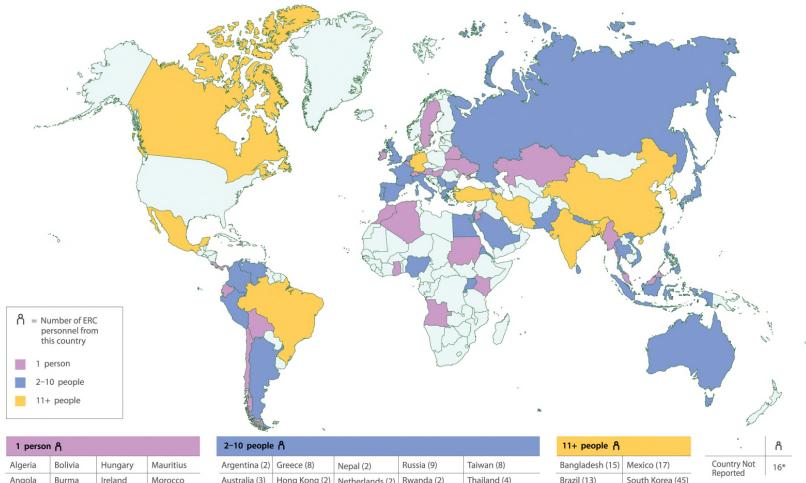


Total number of Project Investigators (PIs): 190



Total number of Project Investigators (PIs): 48

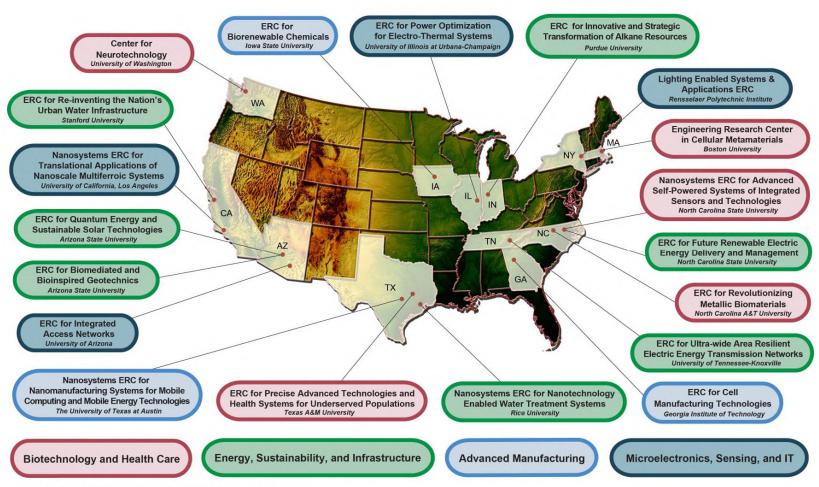
Country of Citizenship of ERC Foreign Personnel, FY 2019



1 person A			
Algeria	Bolivia	Hungary	Mauritius
Angola	Burma	Ireland	Morocco
Armenia	Chile	Jordan	Panama
Austria	Costa Rica	Kazakhstan	Sudan
Barbados	Ecuador	Kenya	Sweden
Belarus	Ghana	Kuwait	Switzerland
Belgium	Haiti	Malaysia	Ukraine

2-10 people	:			
Argentina (2)	Greece (8)	Nepal (2)	Russia (9)	Taiwan (8)
Australia (3)	Hong Kong (2)	Netherlands (2)	Rwanda (2)	Thailand (4)
Bulgaria (2)	Indonesia (4)	Nigeria (8)	Saudi Arabia (6)	Uganda (2)
Colombia (9)	Israel (4)	Pakistan (3)	Serbia (2)	United Kingdom (7
Egypt (5)	Italy (4)	Peru (3)	Singapore (3)	Venezuela (3)
Eritrea (2)	Japan (6)	Philippines (3)	Spain (6)	Vietnam (6)
France (4)	Lebanon (3)	Portugal (2)	Sri Lanka (7)	

11+ people ↑			
Bangladesh (15)	Mexico (17)		
Brazil (13)	South Korea (45)		
Canada (11)	Turkey (15)		
China (265)			
Germany (12)			
India (117)			
Iran (35)			

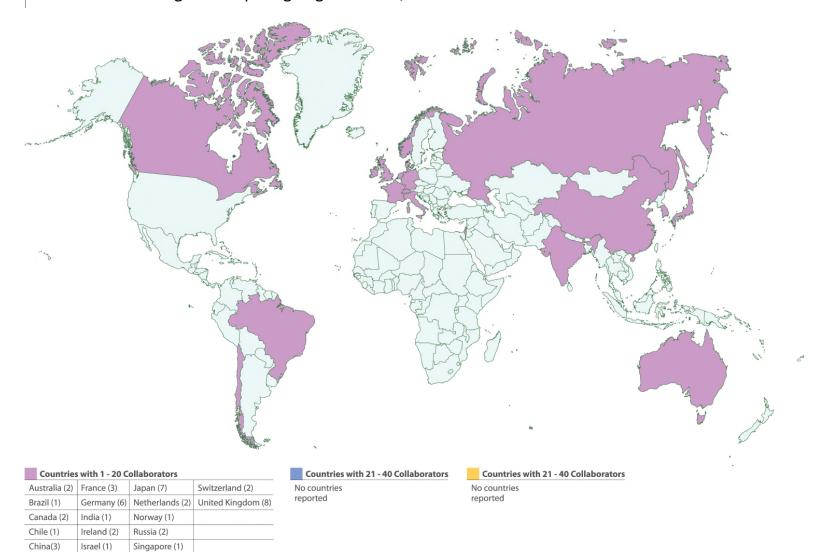


Note: All centers are multi-university partnerships; university shown is lead institution.

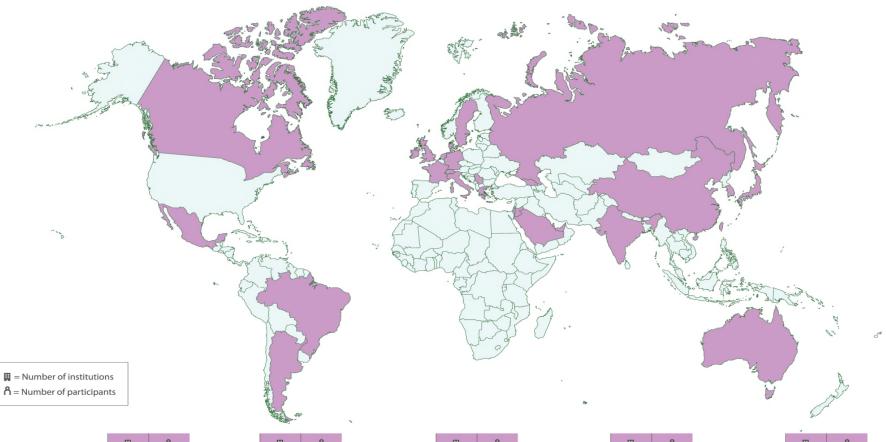
Denmark (2) Italy (1)

South Korea (2)

Locations of Foreign Participating Organizations, FY 2019



Locations of Foreign Participating Institutions, FY 2019



	H	ለ
Argentina	1	1
Australia	3	2
Brazil	2	1
Canada	5	3
China	31	38
Denmark	1	0
France	1	0
Georgia	2	0

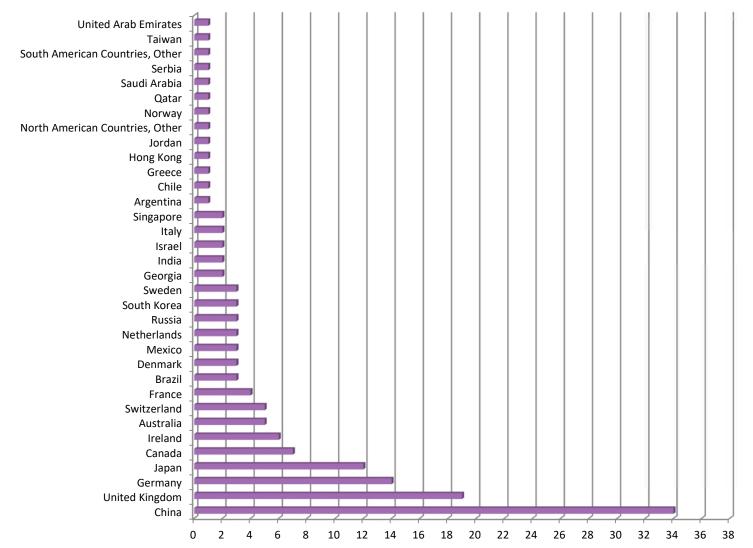
	Ħ	ለ
Germany	8	8
Greece	1	0
Hong Kong	1	1
India	1	0
Ireland	4	10
Israel	1	0
Italy	1	0
Japan	5	3

	H	ň
Jordan	1	0
Mexico	3	0
Netherlands	1	0
North American Countries, Other	1	1
Qatar	1	1
Russia	1	5
Saudi Arabia	1	0

	H	ለ
Serbia	1	0
Singapore	1	0
South American Countries, Other	1	0
South Korea	1	0
Sweden	3	2
Switzerland	3	3
Taiwan	1	0

	Ħ	ለ
United Arab Emirates	1	0
United Kingdom	11	3

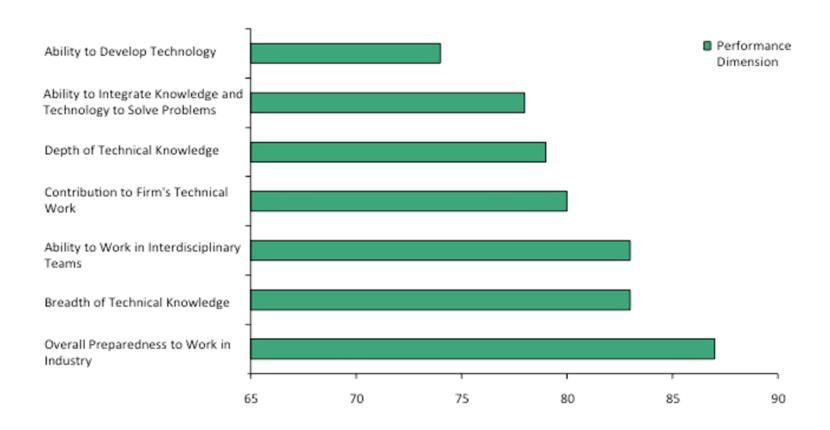
Number of Institutions and Organizations With Financial Headquarters Abroad Collaborating With ERCs, by Country of Origin, FY 2019*,**



^{*} Displays counts of Industrial/Practitioner members, Funders of Associated Projects, Funders of Sponsored Projects, Contributing Organizations, Collaborating Institutions, Non-ERC Institutions Providing REU Students, and Foreign Partner Institutions

46

^{**} Community college and Pre-college institutions are excluded



^{*} Percentage of industrial supervisors rating the former ERC students/graduates hired by their firms as "Better Than" or "Much Better Than" equivalent hires without ERC experience